CS564: Database Management Systems

Lecture 1: Course Overview



NATIONAL CANCER INSTITUTE GENOMIC DATA COMMONS



Big science is data driven.

ELIXIR Innovation and SME Forum: Data-driven innovation in food, nutrition and the microbiome









Increasingly many companies see themselves as **data driven**.

Even more "traditional" companies...

DIGITAL INDUSTRIAL COMPANY

Bloomberg Businessweek: How GE Became A 124-Year-Old Startup



The digital power plant is one of Industrial Internet applications. Image credit: GE Power

The story tracks GE's digital transformation from its inception after the financial crisis in 2008. It started with a broad idea. "I said, 'Look, we need to start building analytic capability, big data capability, and let's do it in California," Immelt told the magazine. "That was as sophisticated as my original thinking was."

The world is increasingly driven by data...

This class teaches **the basics** of how to use & manage data.

Today's Lecture

- 1. Introduction, admin & setup
 - ACTIVITY: Jupyter "Hello World!"
- 2. Overview of the relational data model
 - ACTIVITY: SQL in Jupyter
- 3. Overview of DBMS topics: Key concepts & challenges

Section 1

1. Introduction, admin & setup

What you will learn about in this section

- 1. Motivation for studying DBs
- 2. Administrative structure
- 3. Course logistics
- 4. Overview of lecture coverage
- 5. ACTIVITY: Jupyter "Hello World!"

INFRASTRUCTURE ANALYTICS. APPLICATIONS – ENTERPRISE MARKETING - B2B HADOOP ON-PREMISE — HADOOP IN THE CLOUD STREAMING / IN-MEMORY DATA ANALYST PLATFORMS DATA SCIENCE PLATFORMS SALES CUSTOMER SERVICE MARKETING - B2C X Zet Doomreach Microsoft Opentaho altery 💋 data RADIUS' App Annie cloudera Hortonwork amazon Microsoft Azure amazon sdatabricks 🐟 Medallia IEM blue yonder [PERSADO] zendes **•** Beasoning GUAVUS AYASDI O Google Cloud Platform -- confluent Śstriim iku Gainsight INSIDE SALES.COM MAPR Pivotal 🖾 роміно ŷhat IBM InfoSphere TREASURE GridGain 🔏 🔁 METAMARKETS WATTIV/O 🔩 Datameer 🛛 Quid @kahuna 💼 BLUECORE 🚫 conversica 🌆 🔘 rapidminer IBM InfoSphere BiaInsights Qu_{bale} 🙆 altiscale CONTINUUM' Sense ... tubular Reflection DigitalGenius ClearStory 🚸 OrigamiLogic inter ana Clari AVISO SAILTHRU SQUANTIFIND Alpine bluedata jethro C A Z E N A 🎇 CenturyLink RACLE 📘 hazelcast 🐨 TERRACOTTA Bottlenose ARIMO ENDOR MODE 👹 DataFox 🚛 NGAGIO ALGORITHMIA Angoss fuse|machines || TROOPS NOSQL DATABASES — NEWSOL DATABASES -**GRAPH DR9** MPP DBS CLOUD EDW **BI PLATFORMS** VISUALIZATION - VERTICAL STATISTICAL · DATA SERVICES HUMAN CAPITAL LEGAL FINANCE ENTERPRISE BACK OFFICE SECURITY ANALYTICS COMPUTING AUTOMATION 🎄 + a b l e a u 🏧 PRODUCTIVITY 🌔 neo4j TERADATA Microsoft Q Palantir 😡 RAVEL anaplan 🔿 Google Cloud Platform amazon Hre Vue **entelo** TANIUM NCE StackPath IBM PREDIX **Pivotal** NUODB VERTICA Google Cloud Platform Sas QSeal 🗱 slack 🛔 X (z) uora (h [s]) H O Google Cloud Platfo ORACLE G3 IOT CAPE TASCIENCE OPERA 🗑 Cockroach LABS 🛄 🋄 Microsoft Azure MarkLogic Qlik 🧕 [📉 book N NETEZZA -00 memsql splice MVECTRA CipherCloud Guardian tidemark North A Microsoft Azur SPSS textic RESTLESS BAND UPTAKE JUDICATA Captricity celonis Oction loöker v SAP S/4 HANA bereason ANOMALI siftscience mongoDB DATASTAX Pivotal 🙆 Periscope 🛛 ZEPL Orbital Insight ⊗Wade&Wendy MariaDB Object Brevia VOLTDB ARCADIA DATA 🖉 kognitio miata 🤹 diffbot SIGNIFYD ContineOne Di SecurityScorecar OrientDB 📣 MATLAB TRADESHIFT TACHYUS CHARTIO **Couchbase** *snowflake 44 REMONITI Citusdata Trafodion EXASOL Clustree Stella 🔺 AppZen DataKind FF Clara talla Recorded Future feedza A InfiniteGraph Alluvium R TOOMDATA redislabs () influxdata Ødremio **Info**works 💼 datoram deepdb 🌌 paradigm4 pymetrics butter.ai KASIST.@ SOCURE AREA I FORTSCALE STANDARE CP Spor Objectivit is casetext MACHINE LEARNING **HORIZONTAL AI** SPEECH & NLP DATA TRANSFORMATION DATA INTEGRATION DATA GOVERNANCE MGMT / MONITORING 🗿 Google Cloud Platform 🖽 twilio APPLICATIONS – INDUSTRY **Watson** Cortana amazon informatica 💏 amazon 🔘 New Relic. informatica 🚫 MuleSoft talend O pentaho amazonalexa NarrativeScience 🖊 semantic machine Face** 时视 🛟 sentient snapLogic TEALIUM APPDYNAMICS OCTIFIO Google Cloud Platform ADVERTISING EDUCATION] GOVERNMENT] FINANCE - LENDING -FINANCE REAL ESTATE 7 INSURANCE IBM MediaMath H,O.Cli ADataRobot 💿 Voyager 🗤 📢 vicarious 🛛 clarifai alteryx 📿 TRIFACTA Segment INVESTING AppNexus 🕝 Socrata OnDeck> Affirm retromile MindMeld ARRIA IDIBON KNEWTON Opendoor Spodium alooma skyhigh context relevant) Affective Sansatima criteoL. @xAd integral "Kreditech AVANT 🔆 tamr 🛛 🤍 Paxata Clever \Lambda Numenta ZAL©NI VÍSENZE Talkia Ocortical.io **OPENGOV** 🗢 V T S splunk> 🛣 👓 💆 Q ✓rocketfuel wrocketfuel OpenX Lemonade 🏥 collibra @eclara mance INSIKT Quantoni ٥ xplenty Yrocana #Numerify snips wyseo StreamSets UNIFI import 👩 블 Stitch Alation Waterline mark43 CREDiFi CYENCE theTradeDesk kidaptive TALA (MoneyLion NUMERAL deepeerse in tonian pagerduty SoundHound Inc dstillery Shift Technolo **FN** FiscalNote ISENTIUM TrueAccord 🕀 reonomy drawbridge 🔧 🗠 PANORAMA cignifi aire Algoriz Aidyia Tractable OpenDataSoft COMPSTAK STORAGE **CLUSTER SERVICES** · APP DEV -CROWDSOURCING H/ Active.Ai >RavenPack Eightbe amazon mechanical turk amazon G amazon New tech. Same Principles. O Google Cloud Platform Vowork 🐲 🛞 kubernetes Aicrosoft Azure TRANSPORTATION · AGRICULTURE 1 COMMERCE 7 OTHER N WorkFusion ALLUXIO ° 👉 docker UBER ZÞSX rainforest eHarmony' stem instacort 🕹 nimblestorao CrowdFower rethink () AMPER NUSIC robotics. MESOSPHERE Qumulo COHO oogo zymergen САБК llogz.io ◆FarmersEdge⁻ TITCH FIX 🌒 alphasense Core OS Movidius SCORTEX Ginger.io Glow CLEARPATH N nauto panasas bitly predata granify custora **BenevolentAl** PATHWAY GENOMICS Searchink SINFOUA FarmLogs COTA 2 zebra 🜱 RetailNex drive.ai ZEPHYR HEALTH B@XEVER celect @ pilo?ai Clear Lab: BLUEDRIVER HowGood VERDICRIS duetto mavrx ()PTIMUS Shiller Second Spectrum Citrine two AR deep 🕶 a ñexar Civil Maps *📾 TerrAvion* 🚰 anazon 🗿 Google Cloud Platform 👫 Microsoft 🔢 🟧 🖅 🔤 🐨 💀 💀 🖓 Microsoft 👔 🕅 NetApp Atomwise comma.ai orospera 🖸 Ofreenome " **OPEN SOURCE** FRAMEWORK **QUERY / DATA FLOW** DATA ACCESS COORDINATION STREAMING STAT TOOLS AL/ MACHINE LEARNING / DEEP LEARNING SEARCH LOG ANALYSIS VISUALIZATION COLLABORATION SECURITY Chiedeep Spark SQL 💷 🎑 nifi 🌒 mongo DF Spark 🥋 👌 python" Anache Range talend theano selasticsearc M A Dlib. 🔵 lear Ù jupyter cassandra Zeppelin KNOX R TensorFlow Caffe Solr® BEAKER Anedaga CNTK DM TK K Keras Apache Zookeeper 📽 Flink 🔒 beam kıbana ScalaLab Apache 🔞 SINGA 🛛 OpenAl 0 VELES 🐬 Rodeo 🔆 kafka 🔵 druid - 424 00388 neon FeatureFu mxnet Sentry 👔 NumP Jucene ANACONDA Google Cloud Dataflow 📕 logstash DIMSUM FIBRISE Spanner accumulo Apache Ambari → STORM DL4J Aerosolve **OCDAP** S SciPy DSSTNE DATA SOURCES & APIS DATA RESOURCES HEALTH **FINANCIAL & ECONOMIC DATA** AIR / SPACE / SEA PEOPLE / ENTITIES LOCATION INTELLIGENCE OTHER -**INCUBATORS & SCHOOLS** RESEARCH 💪 JAWBONE' Airware Spire acxi@m. 🛄 Experian FOURSQUARE S Q qualtrics Bloomberg () THOMSON REUTERS D | DOW JONES GE Digital PLURALSIGHT facebook research galvanize Sense Place VALIDIC CAPITAL IQ CBINSIGHTS xignite Quandl OpenAI 🔌 MIRI UPTAKE ThingWorx DATA.GOV 😰 DataCamp < DataElite practice fusion" YEDLEE PREMISE Gestimize M 🎯 esri 🚦 factual 😨 data.world 🖤 🛟 Crimson Hexagon 🧭 helium 🛛 🔯 samsara SECOND MEASURE BASIS quantcast (F)TELLUSLABS Eagle Alpha CARTO 🔨 Mapillary INSIGHT METIS + fitbit GARMIN -A-WINDWARD panjiva StockTwits 🛞 PLAID 🕤 DroneDeploy 😭 MarineTraffic SAFE GRAPH enioma 🕖 Human API 💊kinsa STREETLINE

BIG DATA LANDSCAPE 2017



Why should **you** study databases?

Mercenary-make more \$\$\$:

- Startups need DB talent right away = low employee #
- Massive industry...

Microsoft[•]





• Intellectual:

- Science: data poor to data rich
 - No idea how to handle the data!
- Fundamental ideas to/from all of CS:
 - Systems, theory, AI, logic, stats, analysis....

Many great computer systems ideas started in DB.

What this course is (and is not)

Discuss fundamentals of data management

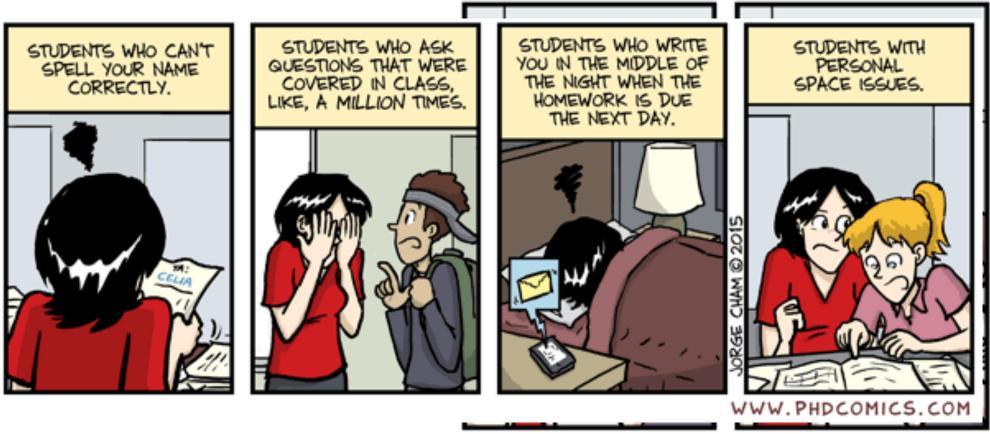
- How to design databases, query databases, build applications with them.
- How to debug them when they go wrong!
- <u>Not</u> how to be a DBA or how to tune Oracle 12g.
- We'll cover how database management systems work
- And some **basic principles of how to build** them

Who we are...

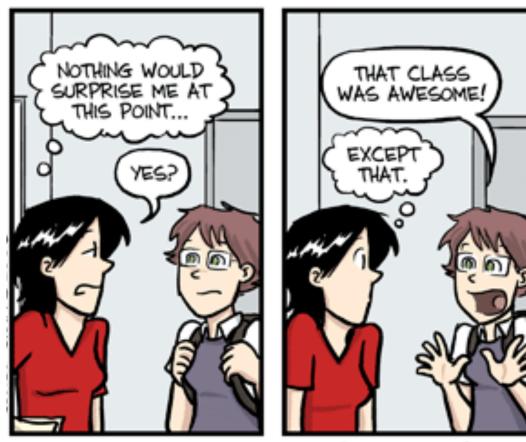
Instructor (me) Theo Rekatsinas

- Faculty in the Computer Sciences and part of the UW-Database Group
- **Research**: data integration and cleaning, statistical analytics, and machine learning.
- thodrek@cs.wisc.edu
- Office hours: Wed 4:00-5:00pm (after class), Fri 11:00am-12:00 pm @CS 4361

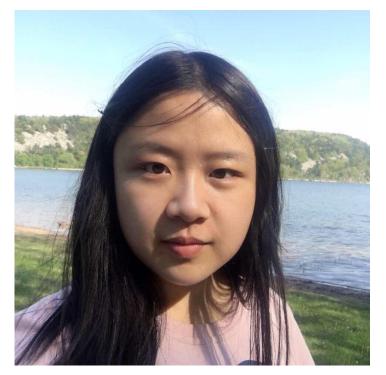
Teaching Assistants (TAs) "TAs are humans too!"



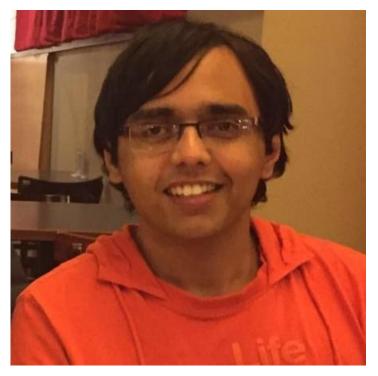
Teaching Assistants (TAs) "TAs are humans too!"



WWW.PHDCOMICS.COM



Minzhen



Vishnu

Communication w/ Course Staff

- Piazza https://piazza.com/wisc/fall2017/cs5643
- Class email: cs564fall17@gmail.com
- Office hours

The goal is to get you to answer each other's questions so you can benefit and learn from each other.

OHs are listed on the course website!

• By appointment!

Course Website:

https://thodrek.github.io/cs564-fall17/

Course Email:

cs564fall17@gmail.com

Lectures

- Lecture slides cover essential material
 - This is your <u>best reference</u>.
 - We are trying to get away from book, but we will have pointers
 - Recommended textbooks listed on website
- Try to cover same thing in **many ways**: Lecture, lecture notes, homework, exams (no shock)
 - Attendance makes your life easier...

Attendance

- You should attend lectures plus guest lecture
 - Guest lectures are fun. Great guests: they want to meet you! Show up!
- Attendance is for your benefit...
 - People who did not attend did worse igodot
 - People who did not attend used more course resources 🟵
 - People who did not attend were less happy with the course 🟵

Graded Elements

- Two Problem Sets (15%)
- Programming project (30%)
 - Split into four parts
 - Auction base: Experience with a DB application.
 - Implementation of DB internals
- Midterm (20%)
- Final exam (35%)

All due dates are posted on website!!!

Un-Graded Elements

- Readings provided to help you!
 - Only items in lecture, homework, or project are fair game.
- Activities are again mainly to help / be fun!
 - Will occur during class- not graded, but count as part of lecture material (fair game as well)
- Jupyter Notebooks provided
 - These are optional but hopefully helpful.
 - Redesigned so that you can 'interactively replay' parts of lecture

What is expected from you

Attend lectures

• If you don't, it's at your own peril

• Be active and think critically

• Ask questions, post comments on forums

Do programming and homework projects

- Start early and <u>be honest</u>
- Study for tests and exams

Problem Sets

Two problems sets at the beginning

• Individual assignments

• Python plus Jupiter notebooks

• 1 week per problem set

- Ask questions, post comments on forums
- Start early!

Project

• Split into four parts

- Two parts cover DB applications
- Two parts cover DB internals

• In groups of 3

- One person per team emails the group info by Wednesday 9/13.
- Use <u>cs564fall17@gmail.com</u> subject should be CS564-3: Project Group
- Write your names and University ID
- Python (DB design) and C++ (DB internals)
- Varying duration for different parts (2 weeks at least)
 - Exact dates posted on website
 - Ask questions, post comments on forums
 - Start early!

To encourage awesomeness

Bonus assignments, activities, and projects... Some extremes...

- **1.** I was hung over when I took the test. Intended to make up for silly mistakes.
- **2.** *I want to be a research star!* There will be some challenging assignments that could indicate possible publication (e.g., ACM SIGMOD undergrad competition)



SIGMOD Student Research Competition



Lectures: 1st part - from a user's perspective

- 1. Foundations: Relational data models & SQL
 - Lectures 2-4
 - How to manipulate data with SQL, a declarative language
 - reduced expressive power but the system can do more for you
- 2. Database Design: Design theory and constraints
 - Lectures 5-8
 - Designing relational schema to keep your data from getting corrupted

Lectures: 2nd part – database internals

3. Introduction to database systems

- Lectures 9-11
- Data Storage and IO models
- Buffer Manager and File Organization
- External sorting

4. Indexing and Hashing

- <u>Lectures 12-15</u>
- Intro to indexing
- B+ Tree, Hash, and Bitmap Indexes

5. Query processing

- <u>Lectures 16-20</u>
- Access methods and operators
- Joins
- Relational algebra and Query optimization

Lectures: 3rd part – transactions

6. Transactions

- <u>Lectures 21-22</u>
- Transactions from a user's perspective
- Logging and Locking

7. Bonus

- Guest Lecture and Lecture 23
- Stratis Viglas from Google
- Machine Learning meets Data Management

Lectures: A note about format of notes

Take note!!

These are asides / notes (still need to know these in general!)

Definitions in blue with concept being defined bold & underlined

Main point of slide / key takeaway at bottom

Warnings- pay attention here!

Jupyter Notebook "Hello World"

- Jupyter notebooks are interactive shells which save output in a nice notebook format
 - They also can display markdown, LaTeX, HTML, js...

• You'll use these for

- in-class activities
- interactive lecture supplements/recaps
- homeworks, projects, etc.- if helpful!

FYI: "Jupyter Notebook" are also called iPython notebooks but they handle other languages too.

Note: you <u>do</u> need to know or learn python for this course!

Jupyter Notebook Setup

- 1. HIGHLY RECOMMENDED. Install <u>on your laptop</u> via the instructions on the next slide / Piazza
- 2. Other options running via one of the alternative methods:
 - 1. <u>Ubuntu VM.</u>
 - 2. <u>CS Machines.</u>

Please help out your peers by posting issues / solutions on Piazza!

3. Come to office hours if you need help with installation!

As a general policy in upper-level CS courses, Windows is not officially supported.

Jupyter Notebook Setup

https://thodrek.github.io/cs564fall17/misc/jupyter_install.html

Activity-1-1.ipynb

2. Overview of the relational data model

What you will learn about in this section

- 1. Definition of DBMS
- 2. Data models & the relational data model
- 3. Schemas & data independence
- 4. ACTIVITY: Jupyter + SQL

What is a DBMS?

- A large, integrated collection of data
- Models a real-world *enterprise*
 - Entities (e.g., Students, Courses)
 - *Relationships* (e.g., Alice is enrolled in CS564)

A Database Management System (DBMS) is a niece of software designed to store and

piece of software designed to store and manage databases

A Motivating, Running Example

• Consider building a course management system (CMS):



- Who takes what
- Who teaches what



Data models

- A data model is a collection of concepts for describing data
 - The <u>relational model of data</u> is the most widely used model today
 - Main Concept: the *relation* essentially, a table

- A schema is a description of a particular collection of data, using the given data model
 - E.g. every *relation* in a relational data model has a *schema* describing types, etc.

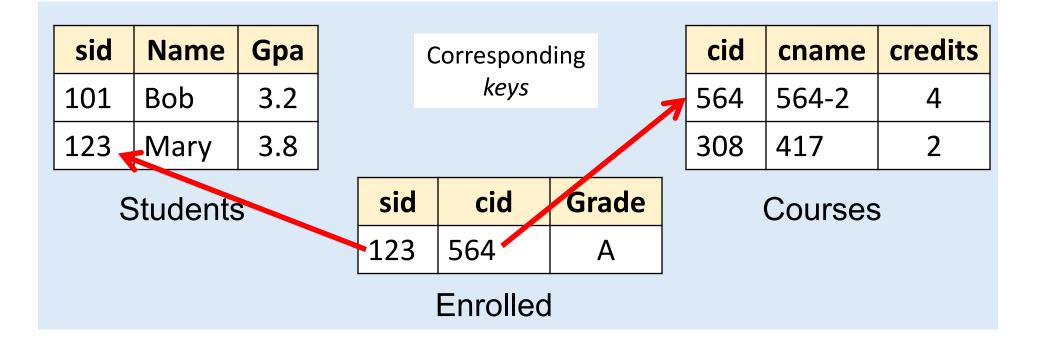
Modeling the Course Management System

- Logical Schema
 - Students(sid: *string*, name: *string*, gpa: *float*)
 - Courses(cid: string, cname: string, credits: int)
 - Enrolled(sid: string, cid: string, grade: string)

| sid | Name | Gpa | Relations | | | cid | cname | credits | |
|----------|----------|-----|-----------|-----|-------|-----|---------|---------|---|
| 101 | Bob | 3.2 | | | | | 564 | 564-2 | 4 |
| 123 | Mary | 3.8 | | | | | 308 | 417 | 2 |
| S | Students | 5 | sid | cid | Grade | | Courses | | |
| | | | 123 | 564 | A | | | | |
| Enrolled | | | | | | | | | |

Modeling the Course Management System

- Logical Schema
 - Students(sid: *string*, name: *string*, gpa: *float*)
 - Courses(cid: string, cname: string, credits: int)
 - Enrolled(sid: string, cid: string, grade: string)



Other Schemata...

- Physical Schema: describes data layout
 - Relations as unordered files
 - Some data in sorted order (index)
- Logical Schema: Previous slide
- External Schema: (Views)
 - Course_info(cid: *string*, enrollment: *integer*)
 - Derived from other tables





Data independence

<u>Concept:</u> Applications do not need to worry about *how the data is structured and stored*

Logical data independence: protection from changes in the *logical structure of the data* *I.e. should not need to ask: can we add a new entity or attribute without rewriting the application?*

Physical data independence:

protection from *physical layout changes*

I.e. should not need to ask: which disks are the data stored on? Is the data indexed?

One of the most important reasons to use a DBMS

Activity-1-2.ipynb

Section 3

3. Overview of DBMS topics

Key concepts & challenges

What you will learn about in this section

- 1. Transactions
- 2. Concurrency & locking
- 3. Atomicity & logging
- 4. Summary

Challenges with Many Users

- Suppose that our CMS application serves 1000's of users or morewhat are some challenges?
 - <u>Security</u>: Different users, different roles

We won't look at too much in this course, but is <u>extremely</u> important

• <u>Performance</u>: Need to provide concurrent access

Disk/SSD access is slow, DBMS hide the latency by doing more CPU work concurrently

• <u>Consistency</u>: Concurrency can lead to update problems

DBMS allows user to write programs as if they were the **only** user

Transactions

• A key concept is the **transaction (TXN)**: an **atomic** sequence of db actions (reads/writes)

Atomicity: An action either completes *entirely* or *not at all*

| Acct | Balance |
|------|---------|
| a10 | 20,000 |
| a20 | 15,000 |

Transfer \$3k from a10 to a20: 1. Debit \$3k from a10

2. Credit \$3k to a20

AcctBalancea1017,000a2018,000

Written naively, in which states is

atomicity preserved?

- Crash before 1,
- After 1 but before 2,
- After 2.

DB Always preserves atomicity!

Transactions

- A key concept is the **transaction (TXN)**: an **atomic** sequence of db actions (reads/writes)
 - If a user cancels a TXN, it should be as if nothing happened!

Atomicity: An action either completes *entirely* or *not at all*

- Transactions leave the DB in a **consistent** state
 - Users may write <u>integrity constraints</u>, e.g., 'each course is assigned to exactly one room'

However, note that the DBMS does not understand the *real* meaning of the constraints– consistency burden is still on the user!

<u>Consistency</u>: An action results in a state which conforms to all integrity constraints

Challenge: Scheduling Concurrent Transactions

- The DBMS ensures that the execution of {T₁,...,T_n} is equivalent to some serial execution
- One way to accomplish this: Locking
 - Before reading or writing, transaction requires a lock from DBMS, holds until the end
- Key Idea: If T_i wants to write to an item x and T_j wants to read x, then T_i, T_j conflict. Solution via locking:
 - only one winner gets the lock
 - loser is blocked (waits) until winner finishes

A set of TXNs is <u>isolated</u> if their effect is as if all were executed serially

What if T_i and T_j need X and Y, and T_i asks for X before T_j, and T_j asks for Y before T_i? -> *Deadlock*! One is aborted...

All concurrency issues handled by the DBMS...

Ensuring Atomicity & Durability

- DBMS ensures **atomicity** even if a TXN crashes!
- One way to accomplish this: Write-ahead logging (WAL)
- Key Idea: Keep a log of all the writes done.
 - After a crash, the partially executed TXNs are undone using the <u>log</u>

<u>Write-ahead Logging</u> (WAL): Before any action is finalized, a corresponding log entry is forced to disk

We assume that the log is on "stable" storage

All atomicity issues also handled by the DBMS...

A Well-Designed DBMS makes many people happy!

- End users and DBMS vendors
 - Reduces cost and makes money
- DB application programmers
 - Can handle more users, faster, for cheaper, and with better reliability / security guarantees!
- Database administrators (DBA)
 - Easier time of designing logical/physical schema, handling security/authorization, tuning, crash recovery, and more...

Must still understand DB internals

Summary of DBMS

- DBMS are used to maintain, query, and manage large datasets.
 - Provide concurrency, recovery from crashes, quick application development, integrity, and security
- Key abstractions give **data independence**
- DBMS R&D is one of the broadest, most exciting fields in CS. Fact!